

Association of Independently Owned Financial Planners Membership Form

ABN 61 085 096 279



Association of Independently
Owned Financial Planners

Free call: 1800 111 203

Phone: 08 8274 3777

Fax: 08 8373 4606

Website:

www.aiofp.net.au

Please return form to:

AIOFP
PO Box 229
Fullarton SA 5063
jadams@aiofp.net.au

Company Information

Company Name:		Date:
AFSL No.:	ABN No.:	
Postal Address:		
Suburb:	State:	Postcode:
Street Address:		
Suburb:	State:	Postcode:
Ph:	Fax:	
Website:		

Key Contact Information

Title:	<input type="checkbox"/> Mr	<input type="checkbox"/> Ms	<input type="checkbox"/> Mrs	<input type="checkbox"/> Miss	<input type="checkbox"/> Dr	<input type="checkbox"/> Other
First Name:		Surname:				
Job Title:			Mobile:			
Email:						
Email for entry on AIOFP Website:						

Other Staff & Advisers (for AIOFP Email Distribution List)

Name:	Email:
Name:	Email:
Name:	Email:
Name:	Email:

Contact for Accounts

First Name:	Surname:
Email:	

Membership Fees

The renewal date for membership is 1st July. Pro rata rates apply to new memberships as stated below.

Number of authorised representatives (please tick)						<input type="checkbox"/> 1-10	<input type="checkbox"/> 11-100	<input type="checkbox"/> 101-200	<input type="checkbox"/> >200
		1-10 Authorised Reps.	11-100 Authorised Reps.	101-200 Authorised Reps.	> 200 Authorised Reps.				
<input type="checkbox"/>	Annual membership Applicable if joining between July & Sept.	\$950.00+GST	\$2500+GST	\$5000+GST	\$7500+GST				
<input type="checkbox"/>	¾ year membership Applicable if joining between Oct. & Dec.	\$712.50+GST	\$1875+GST	\$3750+GST	\$5650+GST				
<input type="checkbox"/>	½ year membership Applicable if joining between Jan. & March	\$475+GST	\$1250+GST	\$2500+GST	\$3750+GST				
<input type="checkbox"/>	¼ year membership Applicable if joining between April & June	\$237.50+GST	\$625+GST	\$1250+GST	\$1875+GST				

MEMBERSHIP COMPLIANCE AND DECLARATION SECTION

Applicant's Declaration

1. The applicant holds FPA Principal Membership? Yes No
2. The company operates its own dealer licence/AFSL and does not have any conflicting ownership by any Financial Institution? Yes No
3. The company offers fee-for-service? Yes No

Membership Agreement

We hereby apply for membership of the AIOFP (Association of Independently Owned Financial Planners). We acknowledge that membership is not confirmed until acceptance by the AIOFP Board. There is a 14 day "cooling off" period for new member applications, where current members have the opportunity to oppose any new member joining. The opposing member will need to justify his/her concerns in writing during this period. However the AIOFP Board will make the final decision.

- We are willing to provide the following certificates annually.
 - Clean audit certificate
 - Certificate of currency of professional indemnity insurance
 - Responsible officers certificate on AIOFP form
- We will notify the AIOFP Board within seven (7) days of any "event" occurring including contraventions, defaults, banning and investigations by ASIC.
- We will notify the AIOFP Board within fourteen (14) days of ownership changes that may compromise AIOFP membership eligibility.

Name:

Signed:

Date:

ANNUAL MEMBERSHIP CERTIFICATE

I, _____ being the Responsible Officer for _____, a dealer holding a current Australian Financial Services licence (herein referred to as "the dealership") hereby certify:

1. The dealership has not committed a default under Section 912 A or B of the Australian Financial Services legislation requiring notification to ASIC within three (3) days of the event.
2. No ASIC investigation has been or is about to begin of the Responsible Officer, Directors or Authorised Representatives of the Dealership.
3. No Authorised Representative or Director of the Dealership has been banned or suspended during the previous 12 months.
4. The Dealership has not entered into an enforceable undertaking or similar arrangement with ASIC restricting the normal operation of the Dealership under the existing licence.

Name of Responsible Officer:

Signed by Responsible Officer:

ABOUT AIOFP

The Association of Independently Owned Financial Planners is a national, professional association that promotes independently owned financial planners to the general public and the financial planning industry at large. The objective is to further the commercial interest of its members and to increase the awareness of independent, fee-for-service, financial planning.

MEMBER BENEFITS

- On-going compliance, marketing and management information sharing with like-minded professionals.
- Exclusive, professional indemnity insurance cover.
- Large dealer FUA rebate participation.
- Platform equity participation models.
- Relevant, informative conferences to network with other members.
- New client referrals.
- AIOFP brand marketing advantages.
- ASIC advocacy where appropriate.

Payment Details

I would like to pay by cheque

Cheques to be made payable to "AIOFP"

I would like to pay by bank transfer

Bank details: Association of Independently Owned Financial Planners

Bank: Adelaide Bank BSB No: 610-101

Account No: 070527176 (please put company name and invoice number in transaction description)

I would like to pay by credit card

Credit Card Type Tick applicable

MasterCard

Visa

Card Number

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Card Holder's Name _____ Expiry Date _____

Three digit security code as per back of card.

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Please note: Credit cards incur a 1.9% transaction fee.