

# GRADUATE DIPLOMA OF FINANCIAL PLANNING & ADVICE

A course approved by the Australian  
Skills Quality Authority (ASQA)



An opportunity to satisfy the  
new industry educational requirements  
with a curriculum designed  
**by Advisers for Advisers**

This Course has been designed by Professor Sarath Delpachitra.  
Administered by AIBT with the support of AIOFP.

# 10597NAT Graduate Diploma of Financial Planning and Advice (GDFP&A)

The Graduate Diploma of Financial Planning and Advice will develop a comprehensive range of skills and expertise required to put the Financial Planners and Advisors at the forefront of their professional practice.

Engage with learning strategies that cover contemporary finance theory and leading – edge techniques for professional financial advisors. Upon completion of this program, participants should have an understanding of the regulatory framework governing the Australian financial services industry and possess financial planning skills in investment advice, investment strategies, superannuation, income taxation, retirement benefits and estate planning.

Personal planning services are becoming important for those individuals who seek advice on creating financial goals. The Australian Government regulators, who ensure that ethical standards are maintained by the professionals providing advice, are mandating higher qualifications for those involved in the sector. Financial Planners and Advisors will be required to undertake professional development to maintain their accreditation / licensing.

The Graduate Diploma of Financial Planning and Advice has been developed specifically for those professionals who are forward looking and want to enhance their reputation by acquiring industry specific qualifications. The course is supported by the Association of Independently Owned Financial Professionals (AIOFP).

The course assists the financial planning profession to meet the required standards as per the planned guidelines of the proposed Professional Standards Council. The course aims to provide the required qualification as per RG146 standards.

## COURSE STRUCTURE

One to two subjects are delivered concurrently during each teaching session of 10 weeks. With 4 teaching sessions, a nistered by both Adelaide Institute of Business and Technology (AIBT – RTO Reg. No: 40312; CRICOS 03133G) with support from AIOFP.

## CORE SUBJECTS

To achieve a qualification of Graduate Diploma in Financial Planning a graduate must complete four subjects of study and achieve competency in all units.

Subject Code	Subject title	Pre-requisite	Nominal hours
GDFPAS801	Provide complex financial planning advice	Nil	130
GDFEPS802	Develop advanced estate and superannuation plans	Nil	150
GDFIPM803	Provide investment advice	Nil	130
GDFSST804	Design tax minimisation plans	Nil	150
Total nominal hours (For all core subjects)			560

Successful completion of this course will require students to engage in unsupervised activities including:

- > completing written assignments/projects;
- > completing self-study to revise and reinforce areas of knowledge;
- > conducting research to gain up to date industry/professional information;
- > preparing examples of work

The time required to undertake these activities will vary between students based on their experience. On average, the unsupervised activities listed above will equate to 640 - 800 hours.

All students who do not complete the course will be issued with a 'Statement of Attainment' for specific units completed.

## ENTRY REQUIREMENTS

The entry requirements for GDFP&A are as follows.

- > Bachelor Degree in Business or related discipline OR
- > Qualification at Advance Diploma level plus extensive experience (3 years)
- > Verifiable skills and experience at a degree level in a professional financial planning environment

Interested applicants must fill the application of the next page and email or post it with credit card details for payment of admission fee.

## PROGRAM CHAIR

**Professor (Dr.) Sarath Delpachitra**  
(Professor in Finance)  
Email: sdelpachitra@aiofp.net.au



## MORE INFORMATION

Enrolment enquiries can be directed to:  
Kerrie Evens, CEO, AIBT  
Email: Kerrie.evans@aibt.sa.edu.au

[www.aibt.sa.edu.au](http://www.aibt.sa.edu.au)

# Application Form

Please write clearly in Capital Letters. Tick all boxes where appropriate.

## Privacy Statement & Applicant Declaration

I declare that the information I have provided to the best of my knowledge is true and correct.

I understand that my RTO [insert RTO name] is required to submit data sourced from this enrolment form to the national VET administrative collection as a regulatory reporting requirement. The information contained on my enrolment form may be used by my RTO or the following third parties for administrative, regulatory and/or research purposes:

- > School - if I am a secondary student undertaking VET, including a school based apprenticeship or traineeship.
- > Employer - if I am enrolled in training paid by my employer.
- > Government departments and authorised agencies.
- > Researchers.

## Application for Unique Applicant Identifier

If you would like us [insert RTO name] to apply for a USI on your behalf you must authorise us to do so and declare that you have read the privacy information at <http://www.usi.gov.au/Training-Organisations/Pages/Privacy-Notice.aspx>. You must also provide some additional information as noted at the end of this form so that we can apply for a USI on your behalf.

I (Name) \_\_\_\_\_ authorise

(insert RTO name) \_\_\_\_\_

to apply pursuant to sub-section 9(2) of the Student Identifiers Act 2014, for a USI on my behalf.

☐ I have read and I consent to the collection, use and disclosure of my personal information pursuant to the information detailed at <http://www.usi.gov.au/Training-Organisations/Pages/Privacy-Notice.aspx>

☐ I understand that I may receive a National Centre for Vocational Education Research (NCVER) student survey.

## Personal details

### 1. Enter your full name\*

Family name (surname) \_\_\_\_\_

Given names \_\_\_\_\_

\*Please write the name that you used when you applied for your Unique Student Identifier (USI), including any middle names. If you do not yet have a USI and want [name of RTO] to apply for a USI on your behalf, you must write your name, including any middle names, exactly as written in the identity document you choose to use for this purpose. See section on the USI at the end of this form for a detailed explanation.

### 2. Enter your birth date

Day/month/year                      /                      /

### 3. Sex (Tick ONE box only)

☐ Male    ☐ Female    ☐ Other

### 4. Enter your contact details

Home phone \_\_\_\_\_

Work phone \_\_\_\_\_

Mobile \_\_\_\_\_

Email address \_\_\_\_\_

### 5. What is the address of your usual residence?

Please provide the physical address (street number and name not post office box) where you usually reside rather than any temporary address at which you reside for training, work or other purposes before returning to your home.

If you are from a rural area use the address from your state or territory's 'rural property addressing' or 'numbering' system as your residential street address.

Building/property name \_\_\_\_\_

Flat/unit details \_\_\_\_\_

Street or lot number (e.g. 205 or Lot 118) \_\_\_\_\_

Street name \_\_\_\_\_

Suburb, locality or town \_\_\_\_\_

State/territory \_\_\_\_\_

Postcode \_\_\_\_\_

### 6. What is your postal address (if different from above)?

Building/property name \_\_\_\_\_

Flat/unit details \_\_\_\_\_

Street or lot number (e.g. 205 or Lot 118) \_\_\_\_\_

Street name \_\_\_\_\_

Suburb, locality or town \_\_\_\_\_

State/territory \_\_\_\_\_

Postcode \_\_\_\_\_

## Language and cultural diversity

### 7. In which country were you born?

- ☐ Australia  
☐ Other – please specify \_\_\_\_\_

### 8. Do you speak a language other than English at home?

(If more than one language, indicate the one that is spoken most often)

- ☐ No, English only (1201) **English only – Go to question 10**  
☐ Yes, other – please specify \_\_\_\_\_

### 9. How well do you speak English?

- ☐ Very well    ☐ Well    ☐ Not well    ☐ Not at all





## Application Form (continued)

### 10. Are you of Aboriginal or Torres Strait Islander origin?

(For persons of both Aboriginal and Torres Strait Islander origin, mark both 'Yes' boxes)

- ☐ No  
☐ Yes, Aboriginal  
☐ Yes, Torres Strait Islander

## Disability

### 11. Do you consider yourself to have a disability, impairment or long-term condition?

- ☐ Yes  
☐ No **If No, please go to question 13**

### 12. If you indicated the presence of a disability, impairment or long-term condition, please select the area(s) in the following list:

(You may indicate more than one area)

- ☐ Hearing/deaf  
☐ Physical  
☐ Intellectual  
☐ Learning  
☐ Mental illness  
☐ Acquired brain impairment  
☐ Vision  
☐ Medical condition  
☐ Other

## Schooling

### 13. What is your highest COMPLETED school level? (Tick ONE box only)

- ☐ Year 12 or equivalent  
☐ Year 11 or equivalent  
☐ Year 10 or equivalent  
☐ Year 9 or equivalent  
☐ Year 8 or below  
☐ Never attended school  
**Never attended school – go to question 16**

### 14. In which YEAR did you complete that school level?

### 15. Are you still attending secondary school?

- ☐ Yes  
☐ No

## Previous qualifications achieved

### 16. Have you SUCCESSFULLY completed any of the following qualifications?

- ☐ Yes  
☐ No **If No – go to question 18**

### 17. If YES, then tick ANY applicable boxes.

- ☐ Bachelor degree or higher degree  
☐ Advanced diploma or associate degree  
☐ Diploma (or associate diploma)  
☐ Certificate IV (or advanced certificate/technician)  
☐ Certificate III (or trade certificate)  
☐ Certificate II  
☐ Certificate I  
☐ Certificates other than the above

## Employment

### 18. Of the following categories, which BEST describes your current employment status? (Tick ONE box only)

- ☐ Full-time employee  
☐ Part-time employee  
☐ Self employed – not employing others  
☐ Employer  
☐ Employed – unpaid worker in a family business  
☐ Unemployed – seeking full-time work  
☐ Unemployed – seeking part-time work  
☐ Not employed – not seeking employment

## Study reason

### 19. Of the following categories, which BEST describes your main reason for undertaking this course/traineeship/apprenticeship? (Tick ONE box only)

- ☐ To get a job  
☐ To develop my existing business  
☐ To start my own business  
☐ To try for a different career  
☐ To get a better job or promotion  
☐ It was a requirement of my job  
☐ I wanted extra skills for my job  
☐ To get into another course of study  
☐ For personal interest or self-development  
☐ Other reasons

## Unique Student Identifier

From 1 January 2015, we [insert RTO name] can be prevented from issuing you with a nationally recognised VET qualification or statement of attainment when you complete your course if you do not have a Unique Student Identifier (USI). If you have not yet obtained a USI you can apply for it directly at <http://www.usi.gov.au/create-your-USI/> on computer or mobile device.

### 20. Enter your Unique Student identifier (if you already have one)

Unique student identifier

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