

Source – ASIC website and general market information

List of Failed and Frozen Financial Products from January 2006 to April 2013

Managed Fund/Investment	Value (\$m) rounded to nearest million	Failed/Frozen Date
1 Aberdeen Cash Plus Fund	45.58	13/01/2010
2 Absolute Capital Group	400.00	28/11/2007
3 AMP Capital (AXA) Australian Income	76.84	1/10/2008
4 AMP Capital Australian Small Companies Fund - Wholesale	8.71	20/08/2010
5 AMP Capital Core Property Fund - Class A	19.00	9/03/2009
6 AMP Capital Enhanced High Yield Fund	67.20	10/10/2008
7 AMP Capital Enhanced Yield A	308.97	10/10/2008
8 AMP Capital Enhanced Yield H	13.27	10/10/2008
9 AMP Capital Investors Enhanced Index International Share Fund	4.78	30/09/2009
10 AMP Capital Investors Small Companies Fund Class A Units	31.49	30/07/2010
11 AMP Future Directions Total Returns Fund	0.38	2/12/2009
12 AMP Multifund Balanced Growth Fund	175.03	1/10/2008
13 APN Direct Property	26.93	1/10/2008
14 APN Diversified Property Fund	21.12	13/10/2008
15 APN International Property for Income Fund	3.13	24/10/2008
16 APN Property for Income Fund	571.89	24/10/2008
17 APN Property for Income Fund No.2	243.88	24/10/2008
18 Aspen Diversified Property	114.92	1/10/2008
19 Astarra Balanced Fund	72.19	5/11/2009
20 Astarra Conservative Fund	31.04	5/11/2009
21 Astarra Growth Fund	12.12	5/11/2009
22 Astarra Strategic Fund	118.00	5/11/2009
23 Aust Unity - High Yield Mortgage Trust	144.90	1/10/2008
24 Australian Capital Reserve	350.00	1/05/2007
25 Australian Unity Diversified Property	155.85	1/10/2008
26 Australian Unity Mortgage Income Trust	625.42	12/11/2009
27 Australian Unity Office Property	142.72	1/10/2008
28 Australian Unity Wholesale High Yield Mortgage Fund	279.85	23/10/2008
29 Australian Unity Wholesale Mortgage Income Trust	925.58	12/11/2009
30 AXA Australian Monthly Income	207.34	1/10/2008
31 AXA Australian Property	53.73	1/10/2008
32 AXA Gen-Australian Monthly Income	40.16	1/10/2008
33 AXA Wholesale Australian Income Fund	208.72	31/12/2008
34 AXA Wholesale Australian Monthly Income Fund	1,298.16	23/10/2008
35 AXA Wholesale Australian Property Fund	728.50	19/08/2008
36 Balmain (MMT) Mortgage Trust Retail	11.00	1/10/2008
37 Balmain (MMT) Mortgage Trust WS	8.24	1/10/2008
38 Balmain (MWMT) Mortgage Trust IDPS	38.22	1/10/2008
39 Balmain (prev Mirvac) AQUA High Income Fund	101.23	31/07/2008
40 Balmain AQUA Income Trust	28.46	1/10/2008
41 Banksia Financial Services	650.00	25/10/2012
42 Basis Aust-Rim Diversified Fund	213.00	31/05/2007
43 Basis Yield Alpha Fund	78.00	1/06/2007
44 Becton Diversified Property Fund	61.96	1/11/2008

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45 Blackrock Australian Quant Strategies Fund (Class D) Units	210.50	18/10/2010
46 BlackRock Combined Property Income Fund	24.26	25/08/2008
47 BlackRock Direct Property C	13.15	1/10/2008
48 BlackRock Direct Property E	35.39	1/10/2008
49 BlackRock Direct Property W	13.32	1/10/2008
50 BlackRock Direct Real Estate A	4.97	1/10/2008
51 Blackrock Scientific Australian Equity PST	611.91	15/12/2010
52 BNP Paribas Asset Management Emerging Markets Equity Fund	2.97	1/09/2010
53 BT Global Return Fund	1,200.00	19/12/2008
54 BT Wholesale Australian Small Companies Fund	508.62	13/11/2009
55 BT Wholesale Technology Fund	2.00	22/02/2010
56 Centro Direct Property Fund	1,323.04	14/12/2007
57 CFS Bricks and Mortar Fund	75.28	27/10/2008
58 CFS FC Inv-CFS Income	53.47	1/10/2008
61 CFS Mortgage Income Fund-Income	792.67	1/10/2008
62 CFS Mortgage Income Fund-Income NEF	132.29	1/10/2008
63 CFS Wholesale Guaranteed Mortgage Fund	13.00	27/10/2008
64 CFS Wholesale Mortgage Income Fund	852.00	27/10/2008
65 CFS WS Long Short Share Strategies Fund	10.18	23/05/2008
66 Challenger Wholesale Global Property Securities Fund	21.77	18/10/2010
67 Challenger Wholesale High Yield Fund	34.48	16/10/2008
68 Challenger Wholesale Hybrid Property Fund	136.40	21/08/2008
69 Challenger WS MTM Diversified Growth	0.89	8/12/2008
70 Charter Hall Direct Property Fund	165.99	1/10/2008
71 Charter Hall Direct Property Wholesale	115.96	1/10/2008
72 Colonial First State PST - Australian Share Option	7.03	16/11/2009
73 Colonial First State PST - Property Securities Option	30.01	16/11/2009
74 Cromwell Property Fund IDPS Option	472.00	14/01/2009
75 Custom Choice WS Australian Share Portfolio	0.50	11/12/2008
76 Denison (Viento) Diversified Property	35.91	1/10/2008
77 Domaine Diversified Property Fund	43.66	5/06/2008
78 DWS RREEF Global Equity Opportunities Fund	277.90	10/12/2008
79 DWS Strategic Value Fund	98.90	23/12/2008
80 DWS Strategic Value Fund (Enhanced Liquidity)	0.10	20/04/2010
81 EQT Wholesale High Income Fund	84.39	4/08/2008
82 Equititrust	194.00	1/11/2011
83 Fincorp	200.00	1/03/2007
84 Forest Enterprises Australia	393.00	1/05/2010
85 Goldman Sachs JB Were Emerging Leaders Wholesale Fund	155.80	23/04/2010
86 Goldman Sachs JB Were Global Health & Biotechnology WS Fund	8.60	23/04/2010
87 Goldman Sachs JB Were Multi Strategy Fund	1.24	14/11/2008
88 Goldman Sachs JBWere Property Securities Wholesale Fund	27.20	2/06/2010
89 Great Southern Agribusiness HFA Diversified Investments Fund, HFA High Octane Fund,	4,000.00	16/05/2009
90 HFA High Octane Fund Series 2	1,100.00	22/12/2008
91 Howard Wholesale Mortgage Fund	2,352.66	21/10/2008
92 Huon Australian Share Fund	9.93	10/11/2010
93 Invesco Wholesale Asian Share PST Fund	3.39	8/12/2008
94 Invesco Wholesale Australian Fixed Interest Fund	1.81	27/05/2009
95 Lazard Global Equity (ex Australia) Fund	1.02	30/11/2009

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96 Legg Mason Australian Credit Trust	7.12	27/01/2010
97 Legg Mason Wholesale Defensive Trust	45.89	15/06/2009
98 Lift Capital	100.00	1/06/2008
99 LM Australian Income CP AUD 1 Year	0.74	1/10/2008
100 LM Australian Income Fund	27.86	20/03/2013
101 LM Australian Structured Products Fund	8.22	20/03/2013
102 LM Cash Performance Fund	0.73	20/03/2013
103 LM Currency Protected Australian Income Fund	76.86	20/03/2013
104 LM Managed Performance Fund	376.74	20/03/2013
105 LM WS First Mortgage Income Fund Flexi Account	608.94	4/08/2008
106 Macquarie Direct Property Fund	156.40	25/08/2008
107 Macquarie Diversified Private Equity - 2002	6.64	29/09/2009
108 Macquarie Global Private Equities Securities Fund	4.54	27/04/2010
109 Macquarie Life Master Aust Enhanced Equities	45.78	25/11/2009
110 Macquarie Life Master Balanced Investment Fund	65.42	25/11/2009
111 Macquarie Life Master Capital Stable Fund	58.77	25/11/2009
112 Macquarie Life Master Cash Fund	86.87	25/11/2009
113 Macquarie Life Master Fixed Interest Fund	131.79	25/11/2009
114 Macquarie Life Master Property Securities Fund	106.49	25/11/2009
115 Mariner Wholesale Mortgage Trust	15.57	27/10/2008
116 MLC Australian Share Fund (MT)	208.43	2/02/2010
117 MLC Balanced Fund (Moderate)	731.29	2/02/2010
118 MLC Capital Stable Fund (Conservative)	298.44	2/02/2010
119 MLC Capital Stable Fund (MT)	1.87	2/02/2010
120 MLC Corporate Global Share Fund	0.84	2/02/2010
121 MLC Growth Fund (Growth)	757.92	2/02/2010
122 MLC Growth Fund (MT)	13.56	2/02/2010
123 MLC Property Securities Fund (MT)	142.28	2/02/2010
124 MMC Small Companies Fund	53.88	30/06/2009
125 Multiplex Development and Opportunity	66.87	1/10/2008
126 Multiplex Diversified Property Fund	65.30	17/11/2008
127 Multiplex Property Income Fund	39.68	29/09/2008
128 OnePath (ING) Corporate Super Balanced Fund	415.28	10/03/2010
129 OnePath (ING) Monthly Income Trust	91.59	24/10/2008
130 OnePath (ING) Wholesale Super Australian Shares	6.47	10/03/2010
131 OnePath (ING) Wholesale Super Capital Stable	540.37	10/03/2010
132 OnePath (ING) Wholesale Super Managed Growth	10.71	10/03/2010
133 OnePath OA IP-OP Mortgage Tr No. 2	34.77	1/10/2008
134 Opes Prime	1,000.00	27/03/2008
135 Ord Minnett Global Dynamic Fund	14.10	30/08/2010
136 Palandri Agribusiness	160.00	1/02/2008
137 Perpetual Fidelity America Fund	57.18	22/05/2009
138 Perpetual Income Series Monthly Income	221.29	1/10/2008
139 Perpetual WFI-Perpetual Mortgage	8.34	1/10/2008
140 Perpetual Wholesale Monthly Income Fund	369.43	23/10/2008
141 Phoenix Technology Corporation Nexus Holdings (Walter Filler)	8.00	1/01/2000
142 Recap Enhanced Income Fund	18.84	24/12/2008
143 Rubicon Holdings Australia	245.38	1/10/2008
144 Schroder Geared Global Active Value Fund (Hedged)	128.56	31/07/2009
145 Select Gottex Enhanced Market Neutral Fund	27.79	13/11/2008
146 Select Gottex Market Neutral Fund	61.30	13/11/2008
147 SG Hiscock Hybrid Property	15.52	1/10/2008

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148 SSgA Aust Listed Property Index Trust	7.90	30/04/2009
149 SSgA Global Fixed Income Trust	1.10	30/04/2009
150 Storm Financial	3,000.00	15/01/2009
151 Tankstream Property Investment Fund	9.52	27/08/2008
152 Timbercorp Agribusiness	200.00	23/04/2009
153 Trilogy (City Pacific) First Mortgage Fund	520.00	1/10/2008
154 Trilogy Healthcare REIT	6.70	30/06/2012
155 UBS Australian Equity Income Fund	4.67	25/05/2010
156 UBS Credit Enhanced Cash Fund	18.47	19/03/2009
157 UBS Global Infrastructure Fund	0.18	2/03/2009
158 UBS Protected Cash Fund	0.53	14/04/2010
159 United Funds Management Australian Shares PST	5.26	16/11/2009
160 United Funds Management Capital Growth PST	8.14	16/11/2009
161 United Funds Management International Shares PST	30.23	16/11/2009
162 Wellington Premium Income Fund	755.88	29/01/2008
163 Westpoint Group	388.00	15/01/2006
164 Wickham Securities	27.00	1/03/2013
	37,301.30	